

# The Charlson Comorbidity Index: A System for Identifying Patients with High Future Healthcare Costs

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## Background & Unmet Need

- Health costs in the U.S. have been rising significantly, at a pace that is unsustainable
- To have the best chance of reducing costs, interventions must target those patients who are most likely to incur future high costs
- Previous work by the Congressional Budget Office determine that multiple chronic conditions was the best predictor of sustained high costs
- However, there is no consensus about the criteria to define multiple chronic diseases and the definition varies considerably between studies
- **Unmet Need:** Method to define and categorize patients with multiple comorbidities to improve predictions of high healthcare cost producers

## Technology Overview

- **The Technology:** Application of the Charlson Comorbidity Index (CCI) to identify patients who will incur high future healthcare costs
- The CCI was originally developed to predict survival, and is a measure of aggregate chronic disease burden
- Here, the CCI was used to predict health costs in the subsequent year, performing as well as prior cost and Diagnostic Cost Group (DCG) in identifying patients in the top 5% or 10%
- As the comorbidity index increased, total yearly costs increased significantly ( $P < .001$ )
- The CCI may therefore be used prospectively to identify patients who are likely to incur high costs, and aid in the development of cost mitigation strategies

## Inventors:

Mary E. Charlson

## Patents:

[US Application Filed](#)

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## Publications:

[Charlson et al. PLoS One. 2014.](#)

[Charlson et al. BMC Health Serv Res. 2014.](#)

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## Cornell Reference:

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## Technology Applications

- Predicts and identifies patients who will incur high future healthcare costs and high futures rates of hospitalization
- Enables optimal healthcare resource management to control costs

## Technology Advantages

- Demonstrated ability to predict high healthcare utilizers
- Allows healthcare systems to intervene early to help patients better manage chronic conditions

## Supporting Data / Figures

Comorbidity	Hospitalizations								
	None		One		Two		Three or more		
Adults	Adults	Percent	Cost	Percent	Cost	Percent	Cost	Percent	Cost
0-1		95.0%	\$ 2,190	4.2%	\$15,536	0.6%	\$36,404	0.2%	\$ 59,105
2-3		91.7%	\$ 4,704	6.5%	\$20,400	1.2%	\$42,413	0.5%	\$ 88,281
4		90.0%	\$ 6,492	7.5%	\$22,584	1.8%	\$52,961	0.7%	\$ 61,981
5-7		85.6%	\$ 8,999	9.9%	\$26,737	2.6%	\$52,027	1.9%	\$105,352
≥8		76.0%	\$15,928	13.6%	\$42,987	4.4%	\$64,817	6.0%	\$126,995
<b>Children</b>									
0-1		98.1%	\$ 955	1.6%	\$11,534	0.2%	\$30,836	0.1%	\$ 56,690
2-3		95.0%	\$2,260	3.9%	\$15,891	0.9%	\$ 58,071	0.2%	\$ 34,700
≥4		88.9%	\$5,684	5.5%	\$10,182	0.8%	\$ 18,558	4.7%	\$79,314

The numbers of patients in each comorbidity group are shown on [Table 1](#).  
Costs adjusted for age, gender, major mental health diagnoses, and zip code of residence.

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Independent variables	Adults			Children			Both		
	t	p	R <sup>2</sup>	t	p	R <sup>2</sup>	t	p	R <sup>2</sup>
Prior year costs	175.6	<.01	.31	97.0	<.01	.20	205.6	<.01	.37
Comorbidity index	104.0	<.01	.20	17.2	<.01	.17	114.3	<.01	.25
Prior year hospitalization	28.1	<.01	.11	16.3	<.01	.07	34.9	<.01	.18
Prospective Risk Score	125.8	<.01	.20	51.2	<.01	.07	139.1	<.01	.26

Each model had only the single independent variable in the first column, controlling for age, gender and mental health diagnosis. t indicates the strength of the association and the p value the statistical significance. R<sup>2</sup> is the explained variance, that is, the extent to which the prior year variables predict subsequent year costs; the higher the R<sup>2</sup>, the greater the explanatory or predictive power.

doi:10.1371/journal.pone.0112479.t004

**Figure 1:** The Charlson Comorbidity Index correlates with increasing healthcare costs and is a strong predictor of future healthcare utilization.

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